# THE FAILURE OF THE SINGULAR CARDINAL HYPOTHESIS AND SCALES

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ABSTRACT. Starting from a supercompact cardinal  $\kappa$ , we build a model, in which  $\kappa$  is singular string limit, the singular cardinal hypothesis fails at  $\kappa$  and there are no very good scales at  $\kappa$ . Moreover there is a bad scale at  $\kappa$ , and so weak square fails.

## 1. INTRODUCTION

The Singular Cardinal Problem is the problem to find a complete set of rules for the behavior of the operation  $\kappa \mapsto 2^{\kappa}$  for singular cardinals  $\kappa$ . One central theme is how much "reflection-type" properties are consistent with the failure of the *singular cardinal hypothesis* (SCH). SCH states that if  $\kappa$  is singular and  $2^{cf(\kappa)} < \kappa$ , then  $\kappa^{cf(\kappa)} = \kappa^+$ . In particular, if  $\kappa$  is strong limit singular, then  $2^{\kappa} = \kappa^+$ .

Scales are a central concept in PCF theory. Given a singular cardinal  $\kappa = \sup_n \kappa_n$ , where each  $\kappa_n$  is regular, a scale of length  $\kappa^+$  is a sequence of functions  $\langle f_\alpha \mid \alpha < \kappa^+ \rangle$ in  $\prod_n \kappa_n$  that is increasing and cofinal with respect to the eventual domination ordering. A point  $\alpha < \kappa^+$  with  $cf(\alpha) > \omega$  is good if there is an unbounded  $A \subset \alpha$  such that  $\{f_\beta(n) \mid \beta \in A\}$  is strictly increasing for all large n. If A is a club in  $\alpha$ , then  $\alpha$  is very good. A scale is good, resp. very good, if on a club every point of uncountable cofinality is good, resp. very good. A scale is bad if it is not good.

Very good scales follow from intermediate square principles, and in turn imply failure of simultaneous stationary reflection (Cummings-Foreman-Magidor, [2]). Thus the non existence of a very good scale is a "reflection-type" property, and it has been open whether it is consistent with the failure of SCH at a singular strong limit cardinal.

Extender based forcing, developed by Gitik and Magidor [5], violates SCH at a singular cardinal  $\kappa$  while keeping GCH below  $\kappa$ . The set up is to start with a singular  $\kappa$ , such that  $\kappa = \sup_n \kappa_n$ , each  $\kappa_n$  is a strong cardinal, and then force to add many sequences though  $\kappa$ , but without adding bounded subsets at  $\kappa$ . In his Ph.D. thesis [7], Assaf Sharon modified this forcing to construct a model, where SCH fails at  $\kappa$  and there are no very good scales at  $\kappa$ . In his model, however, bounded subsets of  $\kappa$  are added, and  $\kappa$  is no longer strong limit. More precisely, only  $\kappa_0$  remains (regular) strong limit.

Another way to violate SCH is via Magidor's supercompact Prikry forcings, [6]. An important variation is Gitik-Sharon's diagonal supercompact Prikry, [4]. In [8], we defined a forcing notion, called *hybrid Prikry*, which combines the diagonal supercompact forcing from Gitik-Sharon [4] and the original extender based forcing. This poset simultaneously singularizes all cardinals in the interval  $[\kappa, \kappa^{+\omega})$ , for a large cardinal  $\kappa$ , and uses extenders to add many Prikry sequences to  $\prod_n \kappa$ , so that SCH is violated. Here we define a *modified hybrid Prikry forcing*, combining ideas from [8] and the modified extender based forcing

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from Assaf Sharon's thesis, [7]. We use it to obtain the consistency of not SCH and no very good scale at a singular strong limit.

Our main forcing does not add bounded subsets of  $\kappa$ , thereby keeping it strong limit. However, before the main forcing, we need some Laver type preparation, to achieve no very good scales. Thus we can't quite keep GCH below  $\kappa$ , but we do have GCH at every inaccessible  $\alpha < \kappa$ .

**Theorem 1.1.** Starting from a supercompact, it is consistent that SCH fails at a strong limit  $\kappa$ , and there is no very good scale at  $\kappa$ .

In our forcing extension, there is also a bad scale:

**Theorem 1.2.** Starting from a supercompact, it is consistent that SCH fails at a strong limit  $\kappa$ , there is a bad scale at  $\kappa$  and for all inaccessible cardinals  $\alpha < \kappa$ ,  $2^{\alpha} = \alpha^{+}$ .

The existence of a bad scale implies that weak square fails in our model. The failure of SCH together with a bad scale was already achieved in [4] at  $\aleph_{\omega^2}$ . However, there is no natural way to modify their forcing for  $\aleph_{\omega}$ . Our construction gives a different strategy, leaving the possibility of pushing it down to  $\aleph_{\omega}$  open.

A key difference between the original extender based forcing and Assaf Sharon's version is that in the latter case forcing with the direct extension order preserves  $\kappa^+$ . Similarly, starting from a supercompact  $\kappa$ , we will define a Prikry type forcing  $(\mathbb{P}, \leq, \leq^*)$  with the key feature that both  $(\mathbb{P}, \leq)$  and  $(\mathbb{P}, \leq^*)$  preserve  $\mu$ , where  $\mu := (\kappa^+)^{V^{\mathbb{P}}}$ .

In section 2 we define the forcing. Preservation of  $\mu$  is shown in section 3, and the Prikry lemma is given in section 4. In section 5, we show that SCH fails in the generic extension. Section 6 has the proof that there is no very good scale in the generic extension, and in section 7 we define a bad scale.

#### 2. The forcing

Suppose that GCH holds; let  $\kappa$  be supercompact, and let  $\langle \kappa_n \mid n < \omega \rangle$  be an increasing sequence of strong cardinals above  $\kappa$ . Denote  $\kappa_\omega = \sup_n \kappa_n$ ,  $\mu := \kappa_\omega^+$ . For each  $n < \omega$ , let  $U_n$  be a normal measure on  $\mathcal{P}_{\kappa}(\kappa_n)$ , and let  $j_n := j_{U_n}$ .

Suppose also that  $Ult_{U_n} \models \kappa_n$  is  $j_n(\mu^+)$ -strong. So for a  $U_n$ - measure one set of x's in  $\mathcal{P}_{\kappa}(\kappa_n), \kappa_x^n := o.t.(x)$  is a  $\mu^+$  - strong cardinal. Say this is witnessed by  $j_x : V \to M_x$ .

Let  $x \in \mathcal{P}_{\kappa}(\kappa_n)$  be as above. Let  $\langle E_{x\alpha} \mid \alpha < \mu^+ \rangle$  be  $\kappa_x^n$  complete ultrafilters on  $\kappa_x^n$ , where  $E_{x\alpha} = \{Z \subset \kappa_x^n \mid \alpha \in j_x(Z)\}$ . Arguing as in [3] we define a strengthening of the Rudin-Keisler order: for  $\alpha, \beta < \mu^+$ , set  $\alpha \leq_{E_x} \beta$  if  $\alpha \leq \beta$  and there is a function  $f : \kappa_x^n \to \kappa_x^n$ , such that  $j_x(f)(\beta) = \alpha$ . For  $\alpha \leq_{E_x} \beta$ , fix projections  $\pi_{\beta\alpha} : \kappa_x^n \to \kappa_x^n$  to witness this ordering, setting  $\pi_{\alpha,\alpha}$  to be the identity. We do this as in Section 2 of [3] with respect to  $\kappa_x^n$ , so that we have:

- (1)  $j_x \pi_{\beta \alpha}(\beta) = \alpha$ .
- (2) For all  $a \subset \mu^+$  with  $|a| < \kappa_x^n$ , there are unboundedly many  $\beta < \mu^+$ , such that  $\alpha <_{E_x} \beta$  for all  $\alpha \in a$ .
- (3) For  $\alpha < \beta \leq \gamma$ , if  $\alpha \leq E_x \gamma$  and  $\beta \leq E_x \gamma$ , then  $\{\nu < \kappa_x^n \mid \pi_{\gamma,\alpha}(\nu) < \pi_{\gamma,\beta}(\nu)\} \in E_{x\gamma}$ .
- (4) If  $\{\alpha_i \mid i < \tau\} \subset \alpha < \mu^+$  with  $\tau < \kappa$ , are such that for all  $i < \tau$ ,  $\alpha_i <_{E_x} \alpha$ , then there is  $A \in E_{x\alpha}$ , such that for all  $\nu \in A$ , for all  $i, j < \tau$ , if  $\alpha_i \leq_{E_x} \alpha_j$ , then  $\pi_{\alpha,\alpha_i}(\nu) = \pi_{\alpha_j,\alpha_i}(\pi_{\alpha,\alpha_j}(\nu))$ .

## 2.1. The modules.

**Definition 2.1.** The poset  $\mathbb{Q}_x^n = \mathbb{Q}_{x0}^n \cup \mathbb{Q}_{x1}$  is defined as follows:  $\mathbb{Q}_{x1}^n = \{f : \mu^+ \rightharpoonup \kappa_x^n \mid |f| \le \kappa_x^n\}$  and  $\le_{x1}$  is the usual ordering.  $\mathbb{Q}_{x0}^n$  has conditions of the form  $p = \langle a, A, f \rangle$  such that:

- $a \subset \mu^+$ ,  $|a| < \kappa_x^n$ , for all  $\beta \in a$ ,  $\beta \leq_{E_x} \max(a)$ ,
- $f \in \mathbb{Q}_{x1}^n$ , and  $a \cap \operatorname{dom}(f) = \emptyset$
- $A \in E_{x \max a}$ ,
- for all  $\alpha \leq \beta \leq_{E_x} \gamma$  in a, for all  $\nu \in \pi_{\max a, \gamma}$  "A,  $\pi_{\gamma, \alpha}(\nu) = \pi_{\beta, \alpha}(\pi_{\gamma, \beta}(\nu))$ .
- for all  $\alpha < \beta$  in a, for all  $\nu \in A$ ,  $\pi_{\max a,\alpha}(\nu) < \pi_{\max a,\beta}(\nu)$

 $\langle b, B, g \rangle \leq_{x0} \langle a, A, f \rangle$  if:

- (1)  $b \supset a$ ,
- (2)  $\pi_{\max b \max a} "B \subset A$ ,
- (3)  $g \supset f$ .

Define  $\leq_x^* = \leq_{x0} \cup \leq_{x1}$  and for  $p, q \in \mathbb{Q}_x^n$ ,  $p \leq_x q$ , if  $p \leq_x^* q$  or  $p \in \mathbb{Q}_{x1}^n$ ,  $q = \langle a, A, f \rangle \in \mathbb{Q}_{x0}^n$ and

- (1)  $p \supset f, a \subset \operatorname{dom}(p)$
- (2)  $p(\max a) \in A$
- (3) for all  $\beta \in a$ ,  $p(\beta) = \pi_{\max a,\beta}(p(\max a))$ .

**Definition 2.2.** For a condition  $p = \langle a, A, f \rangle \in \mathbb{Q}_{x0}^n$  and  $\nu \in A$ , let  $p \frown \nu = f \cup \{\langle \beta, \pi_{\max a, \beta}(\nu) \rangle \mid \beta \in a\}$ . I.e.  $p \frown \nu$  is the weakest extension of p in  $\mathbb{Q}_{x1}^n$  with  $\nu$  in its range.

Note that if  $g \in \mathbb{Q}_{x1}^n$ , with  $g \leq p$ , there is a unique  $\nu \in A$  such that  $g \leq p \cap \nu$  (take  $\nu = g(\max a)$ ).

Finally, for  $n < \omega$ , denote

$$\mathbb{Q}_0^n := [x \mapsto \mathbb{Q}_{x0}^n]_{U_n}, \mathbb{Q}_1^n := [x \mapsto \mathbb{Q}_{x1}^n]_{U_n}, \text{ and } \mathbb{Q}^n := [x \mapsto \mathbb{Q}_x^n]_{U_n}.$$

Since each  $\mathbb{Q}_{x0}^n$  is  $\kappa_x^n$ -closed, we have that  $\mathbb{Q}_0^n$  is  $\kappa_n$ -closed. Also, for each  $\alpha = [x \mapsto \alpha_x]_{U_n} < j_n(\mu^+)$ , set  $E_{n\alpha} = [x \mapsto E_{x\alpha_x}]_{U_n}$ .  $\mathbb{Q}^n$  is the extender based module over  $\kappa_n$  with respect to  $\langle E_{n\alpha} \mid \alpha < j_n(\mu^+) \rangle$  and Cohen parts of size less than or equal to  $\kappa_n$ .

2.2. The main forcing. For  $x, y \in \mathcal{P}_{\kappa}(\kappa_{\omega})$ , we will denote  $\kappa_x = \kappa \cap x$  and use the notation  $x \prec y$  to mean  $x \subset y$  and  $o.t.(x) < \kappa_y$ . Since on a measure one set,  $\kappa_x$  is an inaccessible cardinal, we assume this is always the case. Similarly, for each k, on a measure one set, for  $x \in \mathcal{P}_{\kappa}(\kappa_k)$ ,  $\kappa_x^k = o.t.(x)$  is strong. So we assume this is always the case, too.

**Definition 2.3.** Suppose we have sets  $A_i \in U_i$ ,  $B_i \in [x \mapsto E_{x,\alpha_x}]_{U_i}$  where each  $\alpha_x \in \mu^+$ . Let  $[\prod_{i>l} A_i \times B_i]^{<\omega}$  denote the set of all finite sequences  $\langle \vec{x}, \vec{\nu} \rangle$ , where for some n,

- (1)  $\vec{x} = \langle x_l, ..., x_n \rangle$ , is such that each  $x_i \in A_i$  and  $x_l \prec x_{l+1} \prec ... \prec x_n$ ,
- (2)  $\vec{\nu} = \langle \nu_l, ..., \nu_n \rangle$ , is increasing and such that each  $\nu_i \in B_i$ ,
- (3) each  $\nu_i \in x_i$ .

For every  $i, B_i \in [x \mapsto E_{x,\alpha_x}]_{U_i}$ , and  $\nu \in B_i$  as above, fix representative functions  $x \mapsto \nu_x$ , such that  $\nu := [x \mapsto \nu_x]_{U_i}$ .

**Definition 2.4.** Conditions in  $\mathbb{P}$  are of the form

$$p = \langle x_0, f_0, \dots, x_{l-1}, f_{l-1}, A_l, F_l, A_{l+1}, F_{l+1}, \dots \rangle$$

where l = lh(p) and:

- (1) For  $n < l, x_n \in \mathcal{P}_{\kappa}(\kappa_n)$ , and for  $i < n, x_i \prec x_n$ ,
- (2) For  $n \ge l$ ,  $A_n \in U_n$ , and  $x_{l-1} \prec y$  for all  $y \in A_l$ .
- (3) For  $n \ge l$ , dom $(F_n) = A_n$ , and for  $y \in A_n$ ,  $F_n(y) = \langle a_n(y), A_n(y), f_n(y) \rangle$ , where  $a_n(y) \in [\mu^+]^{<\kappa_y^n}$ ,  $A_n(y) \in E_{y,\max(a_n(y))}$ . Denote  $B_n := [y \mapsto A_n(y)]_{U_n}$ .
- (4) For n < l, dom $(f_n) = \mathcal{P}_{\kappa}(\kappa_n)$ , for every  $x \in \mathcal{P}_{\kappa}(\kappa_n)$ ,

$$f_n(x): [\prod_{i\geq l} A_i \times B_i]^{<\omega} \cap \{\langle \vec{z}, \vec{\nu} \rangle \mid x \prec \vec{z}\} \to \mathbb{Q}_{x1}^n,$$

and for  $\langle \vec{z}, \vec{\nu} \rangle \subset \langle \vec{z'}, \vec{\nu'} \rangle$ ,  $f_n(x)(\langle \vec{z'}, \vec{\nu'} \rangle) \leq f_n(x)(\langle \vec{z}, \vec{\nu} \rangle)$ . (5) For  $n \geq l$ ,  $F_n(y) = \langle a_n(y), A_n(y), f_n(y) \rangle$ , such that:

(a) dom $(f_n(y)) = [\prod_{i>n} A_i \times B_i]^{<\omega} \cap \{\langle \vec{z}, \vec{\nu} \rangle \mid y \prec \vec{z}\}$  and for each  $\langle \vec{z}, \vec{\nu} \rangle \in dom(f_n(y)),$ 

$$\langle a_n(y), A_n(y), f_n(y)(\langle \vec{z}, \vec{\nu} \rangle) \rangle \in \mathbb{Q}_{y0}^n,$$

(b) if 
$$\langle \vec{z}, \vec{\nu} \rangle \subset \langle \vec{z'}, \vec{\nu'} \rangle$$
,  $f_n(y)(\langle \vec{z'}, \vec{\nu'} \rangle) \leq f_n(y)(\langle \vec{z}, \vec{\nu} \rangle)$ .  
(6) For  $l \leq n < m, y \in A_n, y' \in A_m, y \prec y'$ , we have  $a_n(y) \subset a_m(y')$ 

For a condition p as above we will use  $f_n^p, x_n^p, n < \ln(p)$  and  $A_n^p, B_n^p, F_n^p, F_n^p(y) = \langle a_n^p(y), A_n^p(y), f_n^p(y) \rangle, n \ge \ln(p)$  to denote its components as defined above. Also for  $n \ge \ln(p)$ , let  $\beta_n^p := [x \mapsto \max(a_n^p(x))]_{U_n}$ .

We say that  $q \leq^* p$  if  $\ln(q) = \ln(p) = l$ , and

- (1) for all  $n < l, x_n^q = x_n^p$  and for  $n \ge l, A_n^q \subset A_n^p$ ,
- (2) for all  $n \ge l, y \in A_n^q, a_n^q(y) \supset a_n^p(y), \pi_{\max(a_n^q(y), a_n^p(y))}^n A_n^q(y) \subset A_n^p(y).$

For  $n \ge l$  and  $\vec{\nu} \in \prod_{n \le i \le k} B_i^p$ , denote  $\pi(\vec{\nu}) = \langle \pi_{\beta_n^q, \beta_n^p}(\nu_n), ..., \pi_{\beta_k^q, \beta_k^p}(\nu_k) \rangle$ .

- (3) for all  $n < l, \forall_{U_n} x$ , for all  $\langle \vec{z}, \vec{\nu} \rangle \in \operatorname{dom}(f_n^q(x)), f_n^q(x)(\langle \vec{z}, \vec{\nu} \rangle) \leq_{\mathbb{Q}_{n_1}^n} f_n^p(x)(\langle \vec{z}, \pi(\vec{\nu}) \rangle),$
- (4) for all  $n \ge l, y \in A_n^q$  and  $\langle \vec{z}, \vec{\nu} \rangle \in \operatorname{dom}(f_n^q(y)),$

$$\langle a_n^q(y), A_n^q(y), f_n^q(y)(\langle \vec{z}, \vec{\nu} \rangle) \rangle \leq_{\mathbb{Q}_{u0}^n} \langle a_n^p(y), A_n^p(y), f_n^p(y)(\langle \vec{z}, \pi(\vec{\nu}) \rangle) \rangle.$$

(5) for all  $n \geq l$ ,  $A_n^q \subset \{x \mid \nu \in x \to \pi_{\beta_n^q, \beta_n^p}(\nu) \in x\}$ . This is needed to ensure transitivity of  $\leq_{\mathbb{P}}$ .

Suppose p has length l, k > l, and  $\langle \vec{x}, \vec{\nu} \rangle \in [\prod_{i \ge l} A_i^p \times B_i^p]^{<\omega}; \vec{x} := \langle x_l, ..., x_{k-1} \rangle, \vec{\nu} := \langle \nu_l, ..., \nu_{k-1} \rangle$ . We define the weakest k - l-step extension of p obtained from  $\langle \vec{x}, \vec{\nu} \rangle$  denoted by  $p^{\frown} \langle \vec{x}, \vec{\nu} \rangle$  to be the condition

$$\langle x_0^p, f_0, \dots, x_{l-1}^p, f_{l-1}, x_l, f_l, \dots, x_{k-1}, f_{k-1}, A_k, F_k, A_{k+1}, F_{k+1}, \dots \rangle,$$

such that:

- (1) for  $n \ge k$ ,  $A_n = A_n^p \cap \{y \mid x_{k-1} \prec y\}$ ,
- (2) for n < l, for  $x \in \mathcal{P}_{\kappa}(\kappa_n)$ , and  $\langle \vec{z}, \vec{\delta} \rangle \in \operatorname{dom}(f_n(x)), f_n(x)(\langle \vec{z}, \vec{\delta} \rangle) = f_n^p(x)(\langle \vec{x}, \vec{\nu} \rangle^{\frown} \langle \vec{z}, \vec{\delta} \rangle),$

(3) for  $l \leq n < k$ , for  $x \in \mathcal{P}_{\kappa}(\kappa_n)$  with  $\nu_x \in A_n^p(x)$ , for all  $\langle \vec{z}, \vec{\delta} \rangle \in \operatorname{dom}(f_n(x))$ ,  $f_n(x)(\langle \vec{z}, \vec{\delta} \rangle) = \langle a_n^p(x), A_n^p(x), f_n^p(x)(\langle x_{n+1}, ..., x_{k-1}, \nu_{n+1}, ..., \nu_{k-1})^\frown \langle \vec{z}, \vec{\delta} \rangle) \rangle^\frown \nu_x;$ otherwise, if  $\nu_x \notin A_n^p(x)$ , for all  $\langle \vec{z}, \vec{\delta} \rangle \in \operatorname{dom}(f_n(x))$ , set  $f_n(x)(\langle \vec{z}, \vec{\delta} \rangle) = \emptyset$ . (4) for  $n \geq k$  and  $y \in A_n$ , we have  $F_n(y) = F_n^p(y)$ .

We can finally define the full ordering:

**Definition 2.5.**  $q \leq p$  if  $q \leq^* p$  or for some  $\langle \vec{y}, \vec{\nu} \rangle$ , we have that  $q \leq^* p^{\frown} \langle \vec{y}, \vec{\nu} \rangle$ .

# 3. Preservation of $\mu$

Define  $\mathbb{P}_n := \{p \in \mathbb{P} \mid \text{lh}(p) = n\}$ . We will show that  $(\mathbb{P}_0, \leq^*)$  preserves  $\mu$ . The idea will be that for every n, we can regard it as a combination of two subposets, one with  $\kappa_n^{++}$ -c.c., and the other  $\kappa_{n+1}$ -closed. We use this to show that  $(\mathbb{P}_0, \leq^*)$  preserves  $\kappa_n$  for every n, and then conclude that it must preserve  $\mu$ . We remark that our arguments can be adapted to show  $(\mathbb{P}_k, \leq^*)$  preserves  $\mu$ , for every  $k < \omega$ .

**Definition 3.1.** For p, q in  $\mathbb{P}_0$ , we say that  $p \sim q$  if for all  $k < \omega$ ,  $B_k^p = B_k^q = B_k$ , and there are measure one sets  $A_k \subset A_k^p \cap A_k^q$ , such that for all  $k < \omega, x \in A_k, \langle \vec{z}, \vec{\nu} \rangle \in$  $[\prod_{i>k} A_i \times B_i]^{<\omega}$  with  $x \prec \vec{z}$ , we have that  $a_k^p(x) = a_k^q(x), A_k^p(x) = A_k^q(x), f_k^p(x)(\langle \vec{z}, \vec{\nu} \rangle) =$  $f_k^q(x)(\langle \vec{z}, \vec{\nu} \rangle)$ . Define  $p \leq \gamma$  if there is  $p' \sim p$  with  $p' \leq q$ .

Let  $\mathbb{P}_0 \upharpoonright [n, \omega) := \{ \langle A_n^p, F_n^p, A_{n+1}^p, F_{n+1}^p, ... \rangle \mid p \in \mathbb{P}_0 \}$  with the induced ordering from  $\leq^{\sim}$  (which we denote the same). Note that  $\langle \mathbb{P}_0, \leq^* \rangle$  and  $\langle \mathbb{P}_0, \leq^{\sim} \rangle$  are isomorphic.

**Proposition 3.2.**  $\langle \mathbb{P}_0 \upharpoonright [n, \omega), \leq^{\sim} \rangle$  is  $\kappa_n$ -closed, for all  $n \geq 0$ .

*Proof.* Suppose that  $\tau < \kappa_n$  and  $\langle p_\eta \mid \eta < \tau \rangle$  is a  $\leq^\sim$ -decreasing sequence in  $\mathbb{P}_0 \upharpoonright [n, \omega)$ . For each  $\eta < \delta$ , let  $\langle A_k^{\eta, \delta} \mid n \leq k < \omega \rangle$  be measure one sets in  $U_k$ , respectively, witnessing that  $p^{\delta} \leq^\sim p^{\eta}$ .

For  $k \ge n$ , set  $A_k^p = \triangle A_k^{\eta,\delta} = \{x \mid x \in \bigcap_{\eta \in x, \delta \in x} A_k^{\eta,\delta}\}$ . Let  $\overline{m} < \mu^+$  be above the supremum of all of the domains of the  $f_k^{p_\eta}$ 's, i.e.

$$\bar{m} > \sup_{\eta < \tau, k < \omega, x \in A_k^{p_\eta}, \langle \vec{z}, \vec{\nu} \rangle \in \operatorname{dom}(f_k^{p_\eta}(x))} \operatorname{dom}(f_k^{p_\eta}(x)(\langle \vec{z}, \vec{\nu} \rangle)).$$

Inductively on k, for all  $x \in A_k^p$ , set

$$a_k^p(x) = \bigcup_{\eta \in x \cap \tau} a_k^{p_\eta}(x) \cup \bigcup_{n \le m < k, w \in A_m^p, w \prec x} a_m^p(w) \cup \{m\},$$

where *m* is a maximal element above  $\bar{m}$ . Then let  $A_k^p(x) = \bigcap_{\eta \in x \cap \tau} \pi_{\eta}^{-1}(A_k^{p_{\eta}}(x))$ , where  $\pi_{\eta} = \pi_{\max(a_k^p(x)), \max(a_k^{p_{\eta}}(x))}$ .

Now let 
$$B'_i := [y \mapsto A^p_i(y)]_{U_i}$$
. For all  $\langle \vec{z}, \vec{\nu} \rangle \in [\prod_{i>k} A^p_i \times B'_i]^{<\omega}$  with  $x \prec \vec{z}$ , define  $f^p_k(x)(\langle \vec{z}, \vec{\nu} \rangle) = \bigcup_{\eta \in x \cap \tau} f^{p_\eta}_k(x)(\langle \vec{z}, \pi^\eta(\vec{\nu}) \rangle),$ 

where  $\pi^{\eta}$  is the corresponding pointwise projections from the maximal coordinates of p to the maximal coordinates of  $p_{\eta}$ .

We claim that p is as desired. For if  $\eta < \tau$ , for  $k \ge n$ , let  $A_k = A_k^p \cap \{x \mid \eta \in x\} \cap \{x \mid \nu \in x \to \pi_{\beta_k^p, \beta_k^{p_\eta}}(\nu) \in x\}$ . Then  $\langle A_k \mid k \ge n \rangle$  witness that  $p \le p_\eta$ .

For n > 0 and  $p \in \mathbb{P}_0$ , let  $\pi_n(p) = \langle A_0^p, F_0^p, A_1^p, F_1^p, \dots, A_{n-1}^p, F_{n-1}^p \rangle$ . Set  $\mathbb{P}_{0n} := \{\pi_n(p) \mid p \in \mathbb{P}_0\}$  with the natural induced ordering from  $\leq^*$ .

**Proposition 3.3.** For all  $n \ge 0$ ,  $\mathbb{P}_{0n+1}$  has the  $\kappa_n^{++}$  c.c.

Proof. By induction on n. Suppose for contradiction that  $\{\pi_{n+1}(p_\eta) \mid \eta < \kappa_n^{++}\}$  is an antichain in  $\mathbb{P}_{0n+1}$ . By strengthening each  $p_\eta$  if necessary, we may assume that the part above n is the same, i.e. for all i > n,  $[F_i^{p_\eta}]_{U_i} = [F_i]_{U_i}$  for all  $\eta$ . For i > n, denote  $[F_i]_{U_i} := \langle a_i^*, B_i, f_i^* \rangle$ , and let  $\alpha_i = \max(a_i^*)$ . Then each  $B_i \in E_{i,\alpha_i}$ . For m > n, set  $i_m := j_{E_{n+1,\alpha_{n+1}}} \circ j_{n+1} \circ \dots j_{E_{m,\alpha_m}} \circ j_m$ .

Fix  $x \in A_n^{p_\eta}$ . We will define functions  $f_{x,m}^{\eta}$  for n < m as follows.

• If m = n+1, for  $\nu \in B_{n+1}$ , let  $f^{\eta}_{x,\nu,n+1} := [z \mapsto f^{p_{\eta}}_{n}(x)(\langle z,\nu\rangle)]_{U_{n+1}}$ .  $|f^{p_{\eta}}_{n}(x)(\langle z,\nu\rangle)| \le \kappa^{n}_{x}$ , so  $|f^{\eta}_{x,\nu,n+1}| \le \kappa^{n}_{x}$ . Then let  $f^{\eta}_{x,n+1} := [\nu \mapsto f^{\eta}_{x,\nu,n+1}]_{E_{n+1,\alpha_{n+1}}}$ . Again, we have that  $|f^{\eta}_{x,n+1}| \le \kappa^{n}_{x}$ 

• If 
$$m = n + 2$$
, for  $\nu \in B_{n+1}$ ,  $y \in A_{n+1}^{p_{\eta}}$ ,  $\delta \in B_{n+2}$ , with  $\nu \in y$ , let,  
 $- f_{x,\nu,y,\delta}^{\eta} := [z \mapsto f_{n}^{p_{\eta}}(x)(\langle y, z, \nu, \delta \rangle)]_{U_{n+2}};$   
 $- f_{x,\nu,y}^{\eta} := [\delta \mapsto f_{x,\nu,y,\delta}^{\eta}]_{E_{n+2,\alpha_{n+2}}};$   
 $- f_{x,\nu}^{\eta} := [y \mapsto f_{x,\nu,y}^{\eta}]_{U_{n+1}};$   
 $- f_{x,n+2}^{\eta} := [\nu \mapsto f_{x,\nu}^{\eta}]_{E_{n+1,\alpha_{n+1}}}.$   
As before,  $|f_{x,n+2}^{\eta}| \leq \kappa_{x}^{n}.$ 

• ...

Continue in a similar fashion for all m > n.

Then each  $f_{x,m}^{\eta}$  is a partial function from  $i_m(\mu^+)$  to  $\kappa_x^n$  of size less than or equal to  $\kappa_x^n$ . Define a partial function  $F_m^{\eta}: \mathcal{P}_{\kappa}(\kappa_n) \times i_m(\mu^+) \rightarrow \{Y\} \cup \kappa$  by:

$$F_m^{\eta}(x,\alpha) := \begin{cases} Y & \text{if } \alpha \in i_m(a_n^{p_\eta}(x)) \\ f_{x,m}^{\eta}(\alpha) & \text{if } \alpha \in \text{dom}(f_{x,m}^{\eta}) \end{cases}$$

Let  $F^{\eta}$  be the function given by  $F^{\eta}(m, x, \alpha) = F_m^{\eta}(x, \alpha)$ . This is a function of size less than  $\kappa_n^+$ . So, by applying the  $\Delta$ -system lemma, we get an unbounded  $I \subset \kappa_n^{++}$ , such that  $\langle F^{\eta} \mid \eta \in I \rangle$  forms a  $\Delta$  system, and the functions have the same value on the kernel. Note that this implies that for all  $\eta, \delta$  in I and for all  $n < m, x \in \mathcal{P}_{\kappa}(\kappa_n)$ ,  $i_m(a_n^{p_{\eta}}(x)) \cap \operatorname{dom}(f_{x,m}^{\delta}) = \emptyset$ .

By the inductive hypothesis, if n > 0,  $\mathbb{P}_{0n}$  has the  $\kappa_{n-1}^{++}$ -c.c. So let  $\eta, \delta$  be distinct points in I, such that if n > 0,  $\pi_n(p_\eta)$  and  $\pi_n(p_\delta)$  are compatible. We will construct  $p \in \mathbb{P}_0$ , such that  $\pi_{n+1}(p)$  is a common extension of of  $\pi_{n+1}(p_\eta)$  and  $\pi_{n+1}(p_\delta)$ .

Let  $\bar{m} < \mu^+$  be above the supremum of the domains of  $f_k^{p_\eta}(x)(h)$  and  $f_k^{p_\delta}(x)(h)$ , for  $k \leq n, x \in A_k^{p_\eta} \cap A_k^{p_\delta}, h \in \text{dom}(f_k^{p_\eta}(x)) \cap \text{dom}(f_k^{p_\delta}(x))$ . Also, let r be a common extension of  $\pi_n(p_\eta)$  and  $\pi_n(p_\delta)$ , such that for all  $k < n, x \in A_k^r, a_k^r(x) = a_k^{p_\eta}(x) \cup a_k^{p_\delta}(x) \cup c$ , where  $c \subset \mu^+ \setminus \bar{m}$ . We will define p so that  $p \upharpoonright n \sim r$ .

For i < n, set  $A_i^p = A_i^r$ , for  $x \in A_i^p$ , set  $a_i^p(x) = a_i^r(x), A_i^p(x) = A_i^r(x)$ . And then  $B_i^p = B_i^r$ .

Also set  $A_n^p = A_n^r \subset A_n^{p_\eta} \cap A_n^{p_\delta}$ . For  $x \in A_n^p$ , let

$$a_n^p(x) = a_n^{p_\eta}(x) \cup a_n^{p_\delta}(x) \cup \bigcup_{\substack{i < n, w \in A_i^p, w \prec x \\ 6}} a_i^p(w) \cup \{m'\},$$

where  $m' > \bar{m}$  is a maximal element in the extender ordering. Then, set

$$A_n^p(x) = \pi_{m',m_\eta}^{-1}(A_n^{p_\eta}(x)) \cap \pi_{m',m_\delta}^{-1}(A_n^{p_\delta}(x)),$$

where  $m_{\eta}, m_{\delta}$  are the maximal elements of  $a_n^{p_{\eta}}(x)$  and  $a_n^{p_{\delta}}(x)$  respectively. Finally, for all m > n, let

$$f_{x,m} = f_{x,m}^{\eta} \cup f_{x,m}^{\delta}$$

This is a well-defined function because the values on the kernel of the  $\Delta$  system obtained above are the same.

Denote:

- $f_{x,m} = [\nu \mapsto f_n^m(x)(\nu)]_{E_{n+1,\alpha_{n+1}}};$
- $f_n^m(x)(\nu) = [y \mapsto f_n^m(x)(\nu)(y)]_{U_{n+1}};$   $f_n^m(x)(\nu)(y) := [\delta \mapsto f_n^m(x)(\nu)(y)(\delta)]_{E_{n+2,\alpha_{n+2}}};$
- $f_n^m(x)(\nu)(y)(\delta) := [z \mapsto f_n^m(x)(\nu)(y)(\delta)(z)]_{U_{n+2}};$  ... and so on until we reach m.

Then we have that:

$$\forall_{E_{n+1,\alpha_{n+1}}}^* \nu_{n+1} \forall_{U_{n+1}}^* y_{n+1} \forall_{E_{n+2},\alpha_{n+2}}^* \nu_{n+2} \forall_{U_{n+2}}^* y_{n+2} \dots \forall_{E_m,\alpha_m}^* \nu_m \forall_{U_m}^* y_{m+2} \cdots y_{U_m}^* y_{U_m}^* y_{m+2} \cdots y_{U_m}^* y_{U_m}^*$$

$$(\dagger) : f_n^m(x)(\nu_{n+1})(y_{n+1})...(\nu_m)(y_m) = f_n^{p_\eta}(x)(\langle y_{n+1},...,y_m,\nu_{n+1},...,\nu_m\rangle) \cup f_n^{p_\delta}(x)(\langle y_{n+1},...,y_m,\nu_{n+1},...,\nu_m\rangle)$$

and

$$dom(f_n^m(x)(\nu_{n+1})(y_{n+1})...(\nu_m)(y_m)) \cap a_n^p(x) = \emptyset.$$

Then by taking diagonal intersection, for all  $x \in A_k^{p_\eta} \cap A_k^{p_\delta}$ , for all m > n, we have measure one sets  $A_{n+1}^{x,m}, A_{n+2}^{x,m}, ..., A_m^{x,m}$  and  $B_{n+1}^{x,m}, B_{n+2}^{x,m}, ..., B_m^{x,m}$ , where each  $A_i^{x,m} \in U_i$ ,  $B_i^{x,m} \in E_{i,\alpha_i}$ , such that for all  $\langle \vec{y}, \vec{\nu} \rangle \in [\prod_{n < i \le m} A_i^{x,m} \times B_i^{x,m}]^{<\omega}$  with  $x \prec \vec{y}$ , we have that the above equality holds.

We illustrate how these sets are defined for m = n + 2:

- $B_{n+1} = \{ \nu \mid \forall^* y, \forall^* \delta, \forall^* z(\dagger) \text{ holds for } \langle y, z, \nu, \delta \rangle \}.$
- For every  $\nu \in B_{n+1}$ , let  $A_{\nu} \in U_{n+1}$  witness it. Set  $A_{n+1} = \triangle A_{\nu} = \{ y \mid y \in \bigcap_{\nu \in y} A_{\nu} \} \in U_{n+1};$
- For all  $\nu \in B_{n+1}$ , for all  $y \in A_{\nu}$ , let  $B_{\nu,y} \in E_{n+2,\alpha_{n+2}}$  witness it. Set  $B_{n+2} = \bigcap_{\nu,y} B_{\nu,y} \in E_{n+2,\alpha_{n+2}};$
- For all  $\nu \in B_{n+1}$ ,  $y \in A_{\nu}$ , and  $\delta \in B_{\nu,y}$ , let  $A_{\nu,y,\delta} \in U_{n+2}$  witness it. Set  $A_{n+2} := \triangle A_{\nu,y,\delta} = \{ z \mid z \in \bigcap_{\delta \in z, y \prec z, \nu \in y} A_{\nu,y,\delta} \} \in U_{n+2};$

For such x, for i > n, let

$$A_i^x = \bigcap_{i \le m < \omega} A_i^{x,m}, B_i^x = \bigcap_{i \le m < \omega} B_i^{x,m}$$

Then set  $A_i = \triangle A_i^x, B_i^p = \bigcap_{x \in \mathcal{P}_\kappa(\kappa_n)} B_i^x$ . For  $n < i < \omega$ , let  $A_i^p = A_i \cap \{x \mid \nu \in x \to (\pi_{\beta_i^p, \beta_i^{p_\eta}}(\nu) \in x, \pi_{\beta_i^p, \beta_i^{p_\delta}}(\nu) \in x)\}$ . For  $i \leq n$ , let  $F_i^p(y)$  be obtained from  $F_i^r(y)$ , restricted to  $B_i^{p,s}$ . For  $x \in A_n^p$ , m > n, and  $\langle \vec{y}, \vec{\nu} \rangle$  in  $[\prod_{i>n} A_i^p \times B_i^p]^{<\omega}$  with  $x \prec \vec{y}$ , let

$$f_n^p(x)(\langle y_{n+1}, ..., y_m, \nu_{n+1}, ..., \nu_m \rangle) = f_n^m(x)(\nu_{n+1})(y_{n+1})...(\nu_m)(y_m).$$

Then p is as desired.

Using a similar, and actually simpler argument, we get:

**Lemma 3.4.** Both  $(\mathbb{P}_0, \leq^*)$  and  $(\mathbb{P}, \leq)$  have the  $\mu^+$ -c.c.

**Lemma 3.5.** Let n > 0.  $(\mathbb{P}_0, \leq^*)$  preserves cardinals in the interval  $[\kappa_n^{++}, \kappa_{n+1}]$ .

*Proof.* Suppose otherwise. Let n be such that some regular V-cardinal  $\tau \in [\kappa_n^{++}, \kappa_{n+1}]$  is collapsed. Let  $p \in \mathbb{P}_0$ , and  $\lambda < \tau$  be such that  $p \Vdash_{\mathbb{P}_0} \dot{h} : \lambda \to \tau$  is onto. Fix  $\alpha < \lambda$ . We will define  $\theta \leq \kappa_n^{++}$  and  $\langle p_\eta, \alpha_\eta \mid \eta < \theta \rangle$  by induction of  $\eta$ , such that:

- (1)  $p_{\eta} \in \mathbb{P}_{0}, p_{\eta} \leq^{*} p, \alpha_{\eta} \in \tau,$ (2)  $\langle p_{\eta} \upharpoonright [n+1, \omega) \mid \eta < \theta \rangle$  is  $\leq^{\sim}$ -decreasing,
- (3)  $p_{\eta} \Vdash_{\mathbb{P}_0} \dot{h}(\alpha) = \alpha_{\eta}.$

Let  $\alpha_0$  and  $p_0 \leq^* p$  be such that  $p_0 \Vdash_{\mathbb{P}_0} \dot{h}(\alpha) = \alpha_0$ . Suppose we have defined  $p_{\xi}, \alpha_{\xi}$ , for all  $\xi < \eta$ . If  $\eta = \kappa_n^{++}$ , set  $\theta = \eta$  and stop. Otherwise let  $q \leq p$  be such that  $q \upharpoonright n+1 = p \upharpoonright n+1$  and  $q \upharpoonright [n+1,\omega) \leq p_{\xi} \upharpoonright [n+1,\omega)$  for all  $\xi < \eta$ . We can find such a condition because  $\langle \mathbb{P}_0 \upharpoonright [n+1,\omega), \leq^{\sim} \rangle$  is  $\kappa_{n+1}$ -closed.

Suppose that there is  $r \in \mathbb{P}_0, r \leq^* q$  and  $\beta \notin \{\alpha_{\xi} \mid \xi < \eta\}$ , such that  $r \Vdash \dot{h}(\alpha) = \beta$ . Then let  $\alpha_{\eta} = \beta$  and  $p_{\eta} = r$ . Otherwise, set  $\theta = \eta$ ,  $q_{\alpha} := q$ , and stop.

Claim 3.6.  $\theta < \kappa_n^{++}$ .

*Proof.* Otherwise  $\langle \pi_{n+1}(p_\eta) \mid \eta < \kappa_n^{++} \rangle$  is an antichain in  $\mathbb{P}_{0n+1}$  of size  $\kappa_n^{++}$ . Contradiction with Proposition 3.3.

It follows that each  $q_{\alpha}$  is defined. Note that for all  $\alpha$ ,  $q_{\alpha} \upharpoonright n+1 = p \upharpoonright n+1$ . Let  $X_{\alpha} = \{\alpha_{\eta} \mid \eta < \theta\}$ . Then  $q_{\alpha} \Vdash \dot{h}(\alpha) \in X_{\alpha}$ . Doing this inductively on  $\alpha < \lambda$ , we arrange that  $\langle q_{\alpha} \upharpoonright [n+1,\omega) \mid \alpha < \kappa \rangle$  is  $\leq^{\sim}$ -decreasing. Finally let  $X = \bigcup_{\alpha < \lambda} X_{\alpha}$ , and let  $q \leq^{*} p$ be such that for all  $\alpha < \lambda$ ,  $q \upharpoonright [n+1,\omega) \leq q_{\alpha} \upharpoonright [n+1,\omega)$  and  $q \upharpoonright n+1 = p \upharpoonright n+1$ . Then  $q \Vdash_{\mathbb{P}_0} \operatorname{ran}(h) \subset X$ , but  $|X| < \tau$ . Contradiction. 

**Corollary 3.7.**  $\mathbb{P}_0$  preserves  $\mu$ .

For conditions  $p, q \in \mathbb{P}$ , we say that p and q are *tail equivalent*, if for some large enough  $n, p \upharpoonright [n, \omega) \sim q \upharpoonright [n, \omega)$ , as defined earlier, restricted to  $\mathbb{P} \upharpoonright [n, \omega)$ . In this case we write  $p \sim_t q$ . Denote the tail-equivalence class of p, by  $t(p) := \{q \mid p \sim_t q\}$ .

**Definition 3.8.** Let  $\mathbb{D} := \{t(p) \mid p \in \mathbb{P}\}$  with the ordering  $t(p) \leq_{\mathbb{D}} t(q)$  if for some n,  $p \upharpoonright [n,\omega) \leq \ q \upharpoonright [n,\omega).$ 

By considering the map  $p \mapsto t(p)$ , we get the following:

**Proposition 3.9.** Both  $\mathbb{P}$  and  $\mathbb{P}_0$  project to  $\mathbb{D}$ .

**Proposition 3.10.** Suppose that H is  $\mathbb{D}$ -generic,  $G_0$  is  $\mathbb{P}_0/H$ -generic, and  $p \in \mathbb{P}/H$ . Then there is some n, such that  $1^{p} \upharpoonright [n, \omega) \in G_0$ .

**Lemma 3.11.** Let H be  $\mathbb{D}$ -generic.  $\mathbb{P}/H$  has the  $\mu$ -c.c.

*Proof.* Suppose  $\{p_{\eta} \mid \eta < \mu\}$  are conditions in  $\mathbb{P}/H$ . I.e. for each  $\eta, t(p_{\eta}) \in H$ . By passing to an unbounded subset of  $\mu$ , we may assume that there is  $\bar{n} < \omega$ , and  $\vec{x}$  of length  $\bar{n}$ , such that all conditions have length  $\bar{n}$  and Prikry stem  $\vec{x}$ . Let  $G_0$  be  $\mathbb{P}_0/H$ -generic. Then for all  $\eta$ , there is some  $n_{\eta} > \bar{n}$ , such that  $1 \widehat{p}_{\eta} \upharpoonright [n_{\eta}, \omega) \in G_0$ .

Since in  $V[G_0]$ ,  $\mu$  is a regular cardinal, there is some unbounded  $I \subset \mu$ , such that for all  $\eta \in I$ ,  $n_{\eta} = n$ . Now run a  $\Delta$ -system argument for  $\{p_{\eta} \upharpoonright n \mid \eta \in I\}$  in  $V[G_0]$ . This is similar (and actually simpler) to what was done in Proposition 3.3. Then we can find  $\eta < \delta$ , in I, such that  $p_{\eta} \upharpoonright n, p_{\delta} \upharpoonright n$  have a common extension in  $\mathbb{P} \upharpoonright n$ . Let r be such an extension, and let  $q \in \mathbb{P} \upharpoonright [n, \omega)$  be a common extension of  $p_{\eta} \upharpoonright [n, \omega)$  and  $p_{\delta} \upharpoonright [n, \omega)$ . Then  $r \cap q$  is a common extension of  $p_n, p_{\delta}$ .

Corollary 3.12.  $\mathbb{P}$  preserves  $\mu$ .

## 4. The Prikry Lemma

First we show the diagonal lemma:

**Lemma 4.1.** Suppose that p is a condition of length l and for all  $\langle x, \nu \rangle \in A_l^p \times B_l^p$  with  $\nu \in x$ , we have  $p_{x,\nu} \leq^* p^{\frown} \langle x, \nu \rangle$ . Suppose also that:

(1) There are  $\langle \beta_n \mid l < n < \omega \rangle$ , such that every  $\beta_n^{p_{x,\nu}} \leq_{E_n} \beta_n$ , and for all  $y \in A_l^q$ , for all h, with  $y \prec h$ ,

$$\langle f_l^{p_{x,\nu}}(y)(\pi^{x,\nu}(h)) \upharpoonright \operatorname{dom}(f_l^{p_{x,\nu}}(y)(\pi^{x,\nu}(h))) \setminus a_l^p(y) \mid \nu \in x, x \prec y \rangle$$

are pairwise compatible, where  $\pi^{x,\nu}$  is the projection from the  $\beta_n$ 's to the  $\beta_n^{p_x,\nu}$ 's. (2)  $\langle p_{x,\nu} \upharpoonright [l+1,\omega) \rangle$  are  $\leq^{\sim}$  -pairwise compatible.

Then there is a direct extension  $q \leq^* p$ , such that if r is a nondirect extension of q, then for some  $x, \nu$ , we have that  $r \leq p_{x,\nu}$ . Moreover, we can choose q, so that for all  $x \in A_l^q$ ,  $a_l^q(x) = a_l^p(x).$ 

*Proof.* For simplicity assume that  $\ln(p) = 1$ . Denote  $p_{x,\nu} = \langle x_0, f_0^{x,\nu}, x, f_1^{x,\nu}, A_2^{x,\nu}, F_2^{x,\nu}, \ldots \rangle$ , and for n > 1,  $F_n^{x,\nu}(y) = \langle a_n^{x,\nu}(y), A_n^{x,\nu}(y), f_n^{x,\nu}(y) \rangle$ . By taking diagonal intersections, by item (2), we can assume that for all n > 1, for all  $\nu \in x, \delta \in w$ , for all  $y \in A_n^p$ with  $x \prec y, z \prec y$  and for all h with  $y \prec h$ ,  $\langle a_n^{w,\delta}(y), A_n^{w,\delta}(y), f_n^{w,\delta}(y)(\pi_1(h)) \rangle$  and  $\langle a_n^{x,\nu}(y), A_n^{x,\nu}(y), f_n^{x,\nu}(y)(\pi_2(h)) \rangle$  are pairwise compatible, where  $\pi_1$  and  $\pi_2$  project to the maximal coordinates of  $p^{w,\delta}$  and  $p^{x,\nu}$ , respectively, from some coordinate above both.

For every  $\nu$ , we have that  $B_{\nu} := \{x \in A_1^p \mid \nu_x \in A_1^p(x)\} \in U_1$ . Set  $A_1^q = \Delta_{\nu} B_{\nu}$ . For  $y \in A_1^q$ , set  $a_1^q(y) = a_1^p(y), A_1^q(y) = A_1^p(y)$ . For n > 1, let  $A'_n = \Delta A_n^{x,\nu} := \{z \mid z \in \bigcap_{x \prec z, \nu \in x} A_n^{x,\nu}\}$ . For n > 1 and  $y \in A'_n$ , set:

- (1)  $a_n^q(y) \supset \bigcup_{x \prec y, \nu \in x} a_n^{x, \nu}(y)$ , and (2)  $A_n^q(y) = \bigcap_{x \prec y, \nu \in x} \pi_{\max(a_n^q(y)), \max(a_n^{x, \nu}(y))}^{-1} A_n^{x, \nu}(y)$ .

This is possible since there is a maximal element for the a's unboundedly often. And by choosing the  $a_n^q$ 's inductively for n, we maintain the last item of 2.4. Then, for n > 1, let  $A_n^q = A_n' \cap \{x \mid \nu \in x \to \pi_{\beta_n^q, \beta_n^p}(\nu) \in x\}.$ 

For every  $\langle x,\nu\rangle$  and  $h\in [\prod_{i>1}^{n}A_i^{x,\nu}\times B_i^{x,\nu}]^{<\omega}$ , let  $\pi_{x,\nu,p}(h)$  be the corresponding pointwise projection of h from the maximal coordinates of  $p_{x,\nu}$  to p. Let  $\pi_{q,x,\nu}(h)$  be the projection from the maximal coordinates of q to  $p_{x,\nu}$ , and let  $\pi_{q,p}(h)$  be the projection from the maximal coordinates of q to p.

Since every  $p_{x,\nu} \leq p$ , let  $A_0^{x,\nu} \in U_0$  be such that for all  $y \in A_0^{x,\nu}$ , for all  $h \in [\prod_{i>1} A_i^{x,\nu} \times B_i^{x,\nu}]^{<\omega}$  with  $y \prec h$ ,  $f_0^{x,\nu}(y)(h) \leq f_0^p(\langle x,\nu \rangle \cap \pi_{x,\nu,p}(h))$ . For all  $y \in \mathcal{P}_{\kappa}(\kappa_0)$ , and  $x \in A_1^q$ ,  $\nu \in B_1^q = B_1^p$  with  $\nu \in x$ , set  $f_0^q(y)(\langle x,\nu \rangle) = f_0^p(y)(\langle x,\nu \rangle)$ , and

- if  $y \in A_0^{x,\nu}$ , set  $f_0^q(y)(\langle x,\nu\rangle^{\frown}h) = f_0^{x,\nu}(\pi_{q,x,\nu}(h)),$  otherwise, set  $f_0^q(y)(\langle x,\nu\rangle^{\frown}h) = f_0^p(y)(\langle x,\nu\rangle^{\frown}\pi_{q,p}(h)).$

For all  $y \in A_1^q$ , for each h with  $y \prec h$ , set

$$f_1^q(y)(h) = \bigcup_{x,\nu:\nu \in x, x \prec y} f_1^{x,\nu}(y)(\pi_{q,x,\nu}(h)) \upharpoonright \operatorname{dom}(f_1^{x,\nu}(y)(\pi_{q,x,\nu}(h))) \setminus a_1^p(y).$$

Then set  $F_1^q(y) = \langle a_1^q(y), A_1^q(y), f_1^q(y) \rangle$ . For n > 1 and  $y \in A_n^q$ , set  $f_n^q(y)(h) = \bigcup_{x \prec y, \nu \in x} f_n^{x, \nu}(y)(\pi_{q, p}(h))$  and  $F_n^q(y) = \langle a_n^q(y), A_n^q(y), f_n^q(y) \rangle$ . Then q is as desired.

**Corollary 4.2.** Suppose that p is a condition, D is an open dense set, and n > lh(p). Then there is a condition  $q \leq^* p$  such that for all  $r \leq q$  with length n, if there is  $r' \leq^* r$ in D, then r is in D.

*Proof.* By induction on n-l. If  $n = \ln(p) + 1$ , the result follows from the Diagonal lemma. Suppose  $n > \ln(p) + 1$ . For every  $\langle x, \nu \rangle$ , such that  $p \land \langle x, \nu \rangle$  is defined, by the inductive assumption let  $p_{x,\nu} \leq p^{(x,\nu)}$  be such that for all  $r \leq p_{x,\nu}$  with length n, if there is  $r' \leq r$  in D, then r is in D.

Defining these condition inductively, we arrange that they satisfy the assumptions of the diagonal lemma. Apply the diagonal lemma to the conditions  $p_{x,\nu}$  and p to get  $q \leq p$ , such that  $q \cap \langle x, \nu \rangle \leq^* p_{x,\nu}$ , for all  $x, \nu$ . Then q is as desired. For if  $r \leq q$  is with length n, let  $x, \nu$  be such that  $r \leq p_{x,\nu}$ . Now, if  $r' \leq^* r$  is in D, then by the way we chose  $p_{x,\nu}$ , it follows that r is in D.

Remark 1. We can define q as above so that for all  $l \leq k < n$  and  $x \in A_k^q$ ,  $a_k^p(x) = a_k^q(x)$ . That is because when running the argument above, by induction, we may assume that for all l < k < n, for all  $x, \nu$  and  $y \in A_k^{p_{x,\nu}}$ ,  $a_k^{p_{x,\nu}}(y) = a_k^p(y)$ . Then, as in the proof of the Diagonal lemma, when diagonalizing over the  $p_{x,\nu}$ 's we get that for all  $l \leq k < n$  and  $x \in A_k^q, a_k^q(x) = a_k^p(x).$ 

**Lemma 4.3.** (Prikry lemma) Suppose that D is an open dense set and p is a condition with length l. Then there is some n and  $q \leq^* p$ , such that for all  $\vec{x}, \vec{\nu}$  of length n, such that  $q^{\frown}\langle \vec{x}, \vec{\nu} \rangle$  is defined, we have that  $q^{\frown}\langle \vec{x}, \vec{\nu} \rangle \in D$ .

*Proof.* First by shrinking measure one sets, we may assume that for some fixed n, for all  $r \leq p$  of length n+l, there is some  $r' \leq r$  such that  $r' \in D$ . Let  $q \leq p$  be given by the above corollary applied to D. Then every *n*-step extension of q is in D.

# **Lemma 4.4.** For every $p \in \mathbb{P}$ and formula $\phi$ , there is $q \leq^* p$ , such that q decides $\phi$ .

*Proof.* Apply the Prikry lemma for the set  $\{q \mid q \parallel \phi\}$  to find  $p' \leq p$  and n, such that every *n*-step extension of p' is in D'. Then by shrinking measure one sets, in a rather standard way, we obtain  $q \leq p'$ , such that all *n*-step extensions of q decide  $\phi$  the same way. Then q decides  $\phi$ .

**Corollary 4.5.**  $\mathbb{P}$  does not add bounded subsets of  $\kappa$ 

*Proof.* This follows from the Prikry property and since  $\langle \mathbb{P}, \leq^* \rangle$  is  $\kappa$ -closed.

**Corollary 4.6.**  $\mathbb{P}$  preserves cardinals up to and including  $\kappa$ .

## 5. The generic extension

Prepare the ground model V, such that the supercompactness of  $\kappa$  is preserved by forcing with  $\mathbb{P}_0$ . Since  $\mathbb{P}_0$  is  $\kappa_0$ -closed, and so does not add subsets of  $\kappa$ , by starting with a model of GCH, we have that in V,  $2^{\tau} = \tau$  for all inaccessible  $\tau < \kappa$ . Also, in V,  $\operatorname{GCH}_{\geq \kappa}$  holds.

Let G be P-generic. Let  $\langle x_n \mid n < \omega \rangle$  be the diagonal supercompact Prikry sequence added by G. Then  $\bigcup_n x_n = \kappa_\omega$  and  $V[G] \models (\forall i < \omega) \operatorname{cf}(\kappa_i) = \omega$  and  $\mu = \kappa^+$ . Next we show that the forcing blows up the powerset of  $\kappa$ .

**Lemma 5.1.** Suppose  $n < \omega, \alpha < \mu^+$ , and p is such that  $n \ge \ln(p)$  and for all  $y \in A_n^p, \alpha \in a_n^p(y)$ . Then  $D_{n,\alpha} := \{q \mid \ln(q) > n, (\exists \beta := [x \mapsto \beta_x]_{U_n})(\forall_{U_n} x)(\forall h \in \operatorname{dom}(f_n^p(x)))f_n^p(x)(h)(\alpha) = \beta_x\}$  is dense below p.

Proof. Let  $q \leq p$  and  $\ln(q) > n$ . Say  $q \leq^* p^{\frown}\langle \vec{x}, \vec{\nu} \rangle$ , and let  $\nu$  is the  $n - \ln(p)$  - th element of the sequence  $\vec{\nu}$ . Then let  $\beta := \pi_{[x \mapsto \max(a_n^p(x))]_{U_n}, j_n(\alpha)}(\nu)$ . Denote  $\beta = [x \mapsto \beta_x]_{U_n}$ . Then by definition of the Q-modules, we have that for  $U_n$ -almost all x, for all  $h \in \operatorname{dom}(f_n^q(x))$ ,  $f_n^q(x)(h)(\alpha) = \beta_x = \pi_{\max(a_n^p(x), \alpha)}(\nu_x)$ .

For p in  $D_{n,\alpha}$ , define  $g_n^p(\alpha) = \beta$ , where  $\beta$  witnesses that p is in that set. Let

$$F := \bigcup_{p \in G, n \ge \mathrm{lh}(p), y \in A_n^p} a_n^p(y).$$

Note that by genericity of the Prikry sequence and definition of  $\mathbb{P}$ , this is the same as taking  $F = \bigcup_{p \in G, n \ge \ln(p)} a_n^p(x_n)$ . Define  $g_n^* : F \to \kappa_n$  by  $g_n^*(\alpha) = g_n^p(\alpha)$  for some p in  $G \cap D_{n,\alpha}$ , if such exists, and 0 otherwise.

**Lemma 5.2.** F is unbounded in  $\mu^+$ 

*Proof.* Let  $\alpha < \mu^+$ . We claim that the set  $D_{\alpha} := \{p \mid (\exists \alpha' > \alpha) (\exists i \ge \ln(p)) (\forall y \in A_i^p) \alpha' \in a_i^p(y)\}$  is dense. Let p be given. Since:

$$\beta_0 := \sup_{n \ge \ln(p), y \in A_n^p, h \in \operatorname{dom}(f_n^p(y))} \operatorname{dom}(f_n^p(y)(h)) < \mu^+,$$

we have that  $\beta := \max(\beta_0, \alpha) < \mu^+$ . Take  $\alpha'$  with  $\beta < \alpha' < \mu^+$ . Now we can extend p to a condition q, so that for some  $n > \ln(q)$ , for all  $y \in A_n^q$ , we have that  $\alpha' \in a_n^q(y)$ 

*Remark* 2. By a similar argument, we get that  $F \cap \mu$  is unbounded in  $\mu$ .

**Lemma 5.3.** If  $\alpha < \beta$  are both in F, then for all large  $n, g_n^*(\alpha) < g_n^*(\beta)$ .

Proof. Let  $p_1, p_2$  in G witness that  $\alpha, \beta \in F$ . We can find a common extension  $p \in G$ , such that for all  $n \geq \ln(p)$ , for all  $y \in A_n^p$ ,  $\{\alpha, \beta\} \subset a_n^p(y)$ . We will show that for all  $n \geq \ln(p), g_n^*(\alpha) < g_n^*(\beta)$ . To this end, let  $q \in G$  be such that  $q \leq p$  and  $\ln(q) > n$ . Let  $q \leq^* p^{\frown}\langle \vec{x}, \vec{\nu} \rangle$ , and let  $\nu$  is the  $n - \ln(p)$  - th element of the sequence  $\vec{\nu}$ . Then let  $\delta := \pi_{[x \mapsto \max(a_n^p(x))]_{U_n}, j_n(\alpha)}(\nu)$  and  $\delta' := \pi_{[x \mapsto \max(a_n^p(x))]_{U_n}, j_n(\beta)}(\nu)$ . Then by definition of the Q-modules, we have that for  $U_n$ -almost all x, for all  $h \in \operatorname{dom}(f_n^q(x)), f_n^q(x)(h)(\alpha) =$  $\delta_x < \delta'_x = f_n^q(x)(h)(\beta)$ . So,  $g_n^*(\alpha) = \delta < \delta' = g_n^*(\beta)$ .

We have that every  $g_n^*$  has range  $\kappa_n$ . Next we use the genericity of  $\langle x_n \mid n < \omega \rangle$  to define functions with ranges in  $\kappa_{x_n}^n := |x_n|$ . Now, for all  $\eta$ , let  $F_n^{\eta}$  be the function such that  $[F_n^{\eta}]_{U_n} = \eta$ . In V[G], define functions  $\langle t_\alpha \mid \alpha < \mu^+ \rangle$  in  $\prod_n \kappa_{x_n}^n$  by

$$t_{\alpha}(n) := F_n^{g_n^*(\alpha)}(x_n)$$

Then  $\langle t_{\alpha} \mid \alpha \in F \rangle$  are increasing sequences in  $\prod_{n} \kappa_{x_{n}}^{n}$  mod finite.

Corollary 5.4.  $V[G] \models 2^{\kappa} = \mu^+$ .

## 6. No very good scale

In this section we show that there is no very good scale at  $\kappa$  in V[G]. Suppose for contradiction, that in V[G],  $\langle f_{\alpha} \mid \alpha < \mu \rangle$  is a very good scale in some product  $\prod_{n} \tau_{n}$ , of regular cardinals with supremum  $\kappa$ . For every *n* there is some *n'*, such that  $\tau_{n} < \kappa_{x_{n'}}$ . Suppose for simplicity that n' = n. The general case is similar. Also suppose for simplicity that all of this is forced by the empty condition.

**Proposition 6.1.** For all  $\alpha < \mu$  and  $p \in \mathbb{P}_0$ , there is  $q \leq^* p$ , such that every n + 1-step extension of q decides a value of  $\dot{f}_{\alpha}(n)$ , and such that for all  $k \leq n, x \in A_k^q$ ,  $a_k^q(x) = a_k^p(x)$ .

*Proof.* Let  $D := \{q \mid \exists \gamma (q \Vdash \dot{f}_{\alpha}(n) = \gamma)\}$ ; this is clearly a dense open set. Then by Corollary 4.2, we get  $q \leq^* p$  such that for all  $r \leq q$  with length n + 1, if there is  $r' \leq^* r$  in D, then r is in D.

Claim 6.2. For all  $r \leq p$  with h(r) = n + 1, there is  $r' \leq^* r$  with  $r' \in D$ .

Proof. Fix such r; say  $x := x_n^r$ . Then  $r \Vdash \dot{f}_{\alpha}(n) < \kappa_x$ . Apply the Prikry property to " $\dot{f}_{\alpha}(n) = \gamma$ ", for all  $\gamma < \kappa_x$ , to construct a  $\leq^*$ -decreasing sequence  $\langle r_{\gamma} \mid \gamma < \kappa_x \rangle$  of direct extensions of r, deciding these formulas. Then let r' be stronger than each  $r_{\gamma}$ ;  $r' \in D$ .

It follows that every  $r \leq q$  with length n + 1 is in *D*. Also, by Remark 1, for all  $k \leq n, x \in A_k^q, a_k^q(x) = a_k^p(x)$ .

Remark 3. Since  $(\mathbb{P}, \leq^*)$  is  $\kappa_0$ -closed, the above proposition also works for functions in  $\prod_n \kappa_{x_n}^+, \prod_n \kappa_{x_n}^n, \prod_n (\kappa_{x_n}^n)^+$ , etc. (recall  $\kappa_x^n = |x|$  for  $x \in \mathcal{P}_{\kappa}(\kappa_n)$ )

Now let H be  $\mathbb{D}$ -generic induced from G, and let  $G_0$  be  $\mathbb{P}_0/H$ -generic over V. Since  $\mathbb{P}/H$  has the  $\mu$ -chain condition there is a club subset of  $\mu$ ,  $E \in V[H]$ , such that every point in E is very good, and of course E remains a club in  $V[G_0]$ .

For two functions f, g, we will write  $f <_n g$  to denote that for all  $k \ge n$ , f(k) < g(k).

**Lemma 6.3.** In  $V[G_0]$ , there is  $n < \omega$ , and  $a < \kappa$ -club  $C \subset \mu$ , such that for all  $\alpha < \beta$  in C, there is  $p \in G_0$ , such that  $p \Vdash_{\mathbb{P}} \dot{f}_{\alpha} <_n \dot{f}_{\beta}$ .

Proof. For every  $\delta < \mu$  with  $\omega < \operatorname{cf}^{V}(\delta) = \operatorname{cf}^{V[G_0]}(\delta) < \kappa$ , let  $Y_{\delta} \in V$  be any club in  $\delta$  of order type  $\operatorname{cf}^{V}(\delta)$ . Enumerate  $\mathcal{P}^{V}(Y_{\delta})$  by  $\{C_{\delta,i} \mid i < 2^{\operatorname{cf}(\delta)}\}$ . Since  $\kappa$  is strong limit, we have that  $2^{\operatorname{cf}(\delta)} < \kappa$ . So, by applying the Prikry property, we can produce a condition  $p_{\delta}$  of length 0, such that for each *i*, and  $n < \omega$ ,  $p_{\delta}$  decides whether  $C_{\delta,i}$  and *n* witness very goodness of  $\delta$ . By density, we choose each  $p_{\delta} \in G_0$ . By assumption, for club many  $\delta$ 's there is some *i*, *n* such that  $C_{\delta,i}$  and *n* witness very goodness.

Let  $j: V[G_0] \to M$  be a  $\mu$ -supercompact embedding with critical point  $\kappa$ . Set  $\rho := \sup j^* \mu$ . Then by elementarity, there is a condition  $p^* \in j(G_0)$ ,  $n < \omega$ , and  $C^* \in M$  of order type  $\operatorname{cf}^M(\rho) = \mu$ , such that  $p^*$  forces that  $C^*, n$  witness that  $\rho$  is very good. Let  $C := \{\gamma < \mu \mid j(\gamma) \in C^*\}.$ 

Then C is  $< \kappa$  club in  $\mu$ . Now suppose that  $\alpha < \beta$  are in C and  $q \in G_0$ . Let  $r^* \leq^* j(q), p^*$  be in  $j(G_0)$ . Then  $r^* \Vdash_{j(\mathbb{P})} j(\dot{f})_{j(\alpha)} <_n j(\dot{f})_{j(\beta)}$  (since  $p^*$  forces it). So, by elementarity, there is a condition  $p \in G_0, p \leq^* q$ , such that  $p \Vdash_{\mathbb{P}} \dot{f}_{\alpha} <_n \dot{f}_{\beta}$ .

Let  $\hat{C}$  be a  $\mathbb{P}_0$  name for a club as above and suppose that the empty condition forces (over  $\mathbb{P}_0$ ) that  $\dot{C}$ , n are as above. I.e. for all  $p \in \mathbb{P}_0$ , and  $\alpha < \beta < \mu$ , if  $p \Vdash_{\mathbb{P}_0} \alpha, \beta \in \dot{C}$ , then there is  $q \leq^* p$ , such that  $q \Vdash_{\mathbb{P}} \dot{f}_{\alpha} <_n \dot{f}_{\beta}$ .

**Lemma 6.4.** For all  $\tau < \kappa_{\omega}$  and  $p \in \mathbb{P}_0$ , there is  $X \subset \mu$  in V with  $|X| = \tau$  and  $r \leq^* p$ , such that  $r \Vdash_{\mathbb{P}_0} X \subset \dot{C}$ .

*Proof.* Let m be such that  $\tau < \kappa_m$ . We use the following claim.

**Claim 6.5.** For all  $\alpha < \mu$ , for all p, there is  $\beta > \alpha$  and  $q \leq^* p$ , such that  $\pi_m(q) = \pi_m(p)$ and  $q \Vdash_{\mathbb{P}_0} \beta \in \dot{C}$ .

Proof. Construct  $\leq^*$ -decreasing sequence of conditions  $\langle q_k \mid k < \omega \rangle$  and an increasing sequence of points  $\langle \alpha_k \mid k < \omega \rangle$ , such that  $\alpha_0 = \alpha$ , every  $q_k \Vdash_{\mathbb{P}_0} \dot{C} \cap (\alpha_k, \alpha_{k+1}] \neq \emptyset$ , and  $\pi_m(q_k) = \pi_m(p)$ . We can do this by standard arguments since  $\mathbb{P}_{0m}$  has the  $\kappa_{m-1}^{++}$ -c.c. and  $\mathbb{P} \upharpoonright [m, \omega)$  is  $\kappa_m$ -closed. Then let  $\beta = \sup_k \alpha_k$  and let  $q \leq^* q_k$  for all k. Then  $q \Vdash_{\mathbb{P}_0} \beta \in \dot{C}$ .

Fix p. We will construct a sequence  $\langle \beta_{\eta} \mid \eta < \tau \rangle$  and  $\langle q_{\eta} \mid \eta < \tau \rangle$ , such that for each  $\eta$ ,  $\pi_m(q_{\eta}) = \pi_m(p)$  and  $\langle q_{\eta} \upharpoonright [m, \omega) \mid \eta < \tau \rangle$  is  $\leq^{\sim}$ -decreasing.

Suppose we have defined the sequences up to  $\eta$ . Let  $q \leq^* p$  be such that  $\pi_m(q) = \pi_m(p)$ and  $q \upharpoonright [m, \omega) \leq^\sim q_{\xi} \upharpoonright [m, \omega)$  for all  $\xi < \eta$ . Let  $q_{\eta} \leq^* q, \beta_{\eta} > \sup_{\xi < \eta} \beta_{\xi}$  be given by the claim applied to q and  $\sup_{\xi} \beta_{\xi}$ .

Finally let  $r \leq^* p$  be such that  $\pi_m(r) = \pi_m(p)$  and  $r \upharpoonright [m, \omega) \leq^\sim q_\eta \upharpoonright [m, \omega)$  for all  $\eta < \tau$ . Set  $X = \{\beta_\eta \mid \eta < \tau\}$ . Then  $r \Vdash_{\mathbb{P}_0} X \subset \dot{C}$ .  $\Box$ 

Apply the above lemma to find a condition  $r \in \mathbb{P}_0$  and  $X \subset \mu$  of size  $\kappa_n^{++}$ , such that  $r \Vdash_{\mathbb{P}_0} X \subset \dot{C}$ . For every  $\alpha \in X$ , let  $p_\alpha \leq^* r$  be given by Proposition 6.1. I.e. every  $q \leq p_\alpha$  with length n + 1 decides  $\dot{f}_\alpha(n)$ , and for all  $k \leq n, x \in A_k^q$ ,  $a_k^{p_\alpha}(x) = a_k^r(x)$ .  $\mathbb{P} \upharpoonright [n + 1, \omega)$  is  $\kappa_{n+1}$ -closed and  $|X| = \kappa_n^{++}$ . So by defining the  $p_\alpha$ 's inductively, we arrange that  $\langle p_\alpha \upharpoonright [n + 1, \omega) \mid \alpha \in X \rangle$  is  $\leq^\sim$ -decreasing.

Consider  $\{\pi_{n+1}(p_{\alpha}) \mid \alpha \in X\} \subset \mathbb{P}_{0n+1}$ . By the same  $\Delta$ -system argument as in Proposition 3.3, there is an unbounded  $X' \subset X$ , such that  $\{\pi_{n+1}(p_{\alpha}) \mid \alpha \in X'\}$  are pairwise compatible. But that means  $\{p_{\alpha} \mid \alpha \in X'\}$  are pairwise compatible with respect to  $\leq^*$ . For all  $\alpha, \beta$  in X', let  $p_{\alpha,\beta} \leq^* p_{\alpha}, p_{\beta}$  be such that  $p_{\alpha,\beta} \Vdash_{\mathbb{P}} \dot{f}_{\alpha} <_n \dot{f}_{\beta}$ . Let  $r_{\alpha,\beta} \leq p_{\alpha,\beta}$  be of length n + 1 and of the form  $r_{\alpha,\beta} = p_{\alpha,\beta}^{\frown}\langle \vec{x}, \vec{\nu} \rangle$ , for some  $\vec{x}, \vec{\nu}$ . But then since for all  $k \leq n, x \in A_k^q, a_k^{p_{\alpha}}(x) = a_k^r(x)$ , we have that there are  $\vec{x}_{\alpha,\beta}, \vec{\nu}_{\alpha,\beta}$ , such that:

- $r_{\alpha,\beta} \leq p_{\alpha}^{\frown} \langle \vec{x}_{\alpha,\beta}, \vec{\nu}_{\alpha,\beta} \rangle$
- $r_{\alpha,\beta} \leq p_{\beta}^{\frown} \langle \vec{x}_{\alpha,\beta}, \vec{\nu}_{\alpha,\beta} \rangle$

Denote  $h_{\alpha,\beta} := \langle \vec{x}_{\alpha,\beta}, \vec{\nu}_{\alpha,\beta} \rangle$ . The number of possible  $h_{\alpha,\beta}$ 's is  $\kappa_n$ , and  $|X'| = \kappa_n^{++} = (2^{\kappa_n})^+$ . By Erdos-Rado, the function  $\langle \alpha, \beta \rangle \mapsto h_{\alpha,\beta}$  has a homogenous set Y is size  $\kappa_n^+$ . Let  $\langle \vec{x}, \vec{\nu} \rangle = h_{\alpha,\beta}$  for all  $\alpha, \beta$  in Y.

For all  $\alpha \in Y$ , let  $\gamma_{\alpha} < \kappa$  be such that,  $p_{\alpha} \langle \vec{x}, \vec{\nu} \rangle \Vdash \dot{f}_{\alpha}(n) = \gamma_{\alpha}$ . (Here we use that  $p_{\alpha}$  is as in the conclusion of Proposition 6.1.) Suppose that  $\alpha < \beta$  are both in Y. Since  $r_{\alpha,\beta} \leq p_{\alpha,\beta}$ and  $p_{\alpha,\beta} \Vdash \dot{f}_{\alpha} <_n \dot{f}_{\beta}$ , we have that  $r_{\alpha,\beta} \Vdash \dot{f}_{\alpha}(n) < \dot{f}_{\beta}(n)$ . But  $r_{\alpha,\beta} \leq^* p_{\alpha} \langle \vec{x}, \vec{\nu} \rangle, p_{\beta} \langle \vec{x}, \vec{\nu} \rangle$ , so  $\gamma_{\alpha} < \gamma_{\beta}$ .

But then  $\{\gamma_{\alpha} \mid \alpha \in Y\}$  is a subset of  $\kappa$  of size  $\kappa_n^+$ . Contradiction.

## 7. BAD SCALE

Recall that we prepared the ground model V, so that the supercompactness of  $\kappa$  is preserved by forcing with  $\mathbb{P}_0$ . In V, fix a scale  $\langle g_{\alpha}^* | \gamma < \mu \rangle \in V$  in  $\prod_n \kappa_n^+$ . Set  $S := \{\gamma < \mu \mid \omega < \operatorname{cf}(\gamma) < \kappa, \gamma$  is a bad point for  $\langle g_{\alpha}^* \mid \gamma < \mu \rangle \}$ . By standard reflection arguments S is stationary in V. Also, since  $\mathbb{P}_0$  preserves  $\mu$  and is  $\kappa^+$ -closed,  $\langle g_{\alpha}^* \mid \gamma < \mu \rangle$  remains a bad scale after forcing with  $\mathbb{P}_0$ . More precisely, if  $G_0$  is  $\mathbb{P}_0$ -generic, a point of cofinality less than  $\kappa$  is bad in V iff it is bad in  $V[G_0]$ , and the set S is stationary in  $V[G_0]$  (since  $\kappa$ remains supercompact in  $V[G_0]$ ).

So if H is  $\mathbb{D}$ -generic, since  $\mathbb{P}_0$  projects to  $\mathbb{D}$ , we have that S is stationary in V[H]. Then by the  $\mu$ -chain condition of  $\mathbb{P}/\mathbb{D}$ , S is stationary after forcing with  $\mathbb{P}$ .

The next lemma will be used to show that a witness of goodness in the generic extension gives rise to a witness of goodness in the ground model. In particular, if a point is bad in V, then it is bad in V[G].

**Lemma 7.1.** Let  $\tau < \kappa$  be a regular uncountable cardinal in V (and so in V[G]), and suppose  $V[G] \models A \subset ON$ , o.t. $(A) = \tau$ . Then there is a  $B \in V$  such that B is an unbounded subset of A.

Proof. Let  $p \in G$ ,  $p \Vdash \dot{h} : \tau \to \dot{A}$  enumerate  $\dot{A}$ . By the Prikry lemma, define a  $\leq^*$ -decreasing sequence  $\langle p_{\alpha} \mid \alpha < \tau \rangle$ , such for every  $\alpha < \tau$ ,  $p_{\alpha} \leq^* p$  and there is  $n_{\alpha} < \omega$ , such that every  $q \leq p_{\alpha}$  with length  $n_{\alpha}$  decides  $\dot{h}(\alpha)$ . Then there is an unbounded  $I \subset \tau$  and  $n < \omega$  such that for all  $\alpha \in I$ ,  $n = n_{\alpha}$ . Let p' be stronger than all  $p_{\alpha}$  for  $\alpha < \tau$ . By appealing to density, we may assume that  $p' \in G$ . Let  $q \leq p$  be a condition in G with length n, and set  $B = \{\gamma \mid (\exists \alpha \in I)q \Vdash \dot{h}(\alpha) = \gamma\}$ . Then B is as desired.

Note that the above lemma already implies that the approachability property fails in V[G], and so weak square also fails.

Recall that for every  $x \in \mathcal{P}_{\kappa}(\kappa_n)$ ,  $\kappa_x^n$  denotes |x|, which is a cardinal on a  $U_n$ -measure one set. Also,  $\forall n < \omega, \forall \eta < \kappa_n^+$ , we fixed  $F_n^{\eta} : \mathcal{P}_{\kappa}(\kappa_n) \longrightarrow V$ , such that  $[F_n^{\eta}]_{U_n} = \eta$ . We may assume that  $\forall x F_n^{\eta}(x) < (\kappa_x^n)^+$ . Define in  $V[G], \langle g_\beta | \beta < \mu \rangle$  in  $\prod_n (\kappa_{x_n}^n)^+$  by:

$$g_{\beta}(n) = F_n^{g_{\beta}(n)}(x_n)$$

\* (...)

To show that this is a scale we need the following bounding lemma.

**Lemma 7.2.** Suppose that in V[G],  $h \in \prod_n (\kappa_{x_n}^n)^+$ . Then there is a sequence of functions  $\langle H_n \mid n < \omega \rangle$  in V, such that dom $(H_n) = \mathcal{P}_{\kappa}(\kappa_n)$ ,  $H_n(x) < (\kappa_x^n)^+$  for all x, and for all large n,  $h(n) \leq H_n(x_n)$ .

*Proof.* Let p force that  $\dot{h} \in \prod_n (\kappa_{\dot{x}_n}^n)^+$ . For simplicity, say  $\ln(p) = 0$ .

Fix  $n < \omega$ . Let  $p_n \leq^* p$  be such that every n + 1-step extension decides  $\dot{h}(n)$ . Let  $q \leq^* p_n$ , for all n. For all  $\vec{z}, \vec{\nu}$  of length n+1, such that  $q \wedge \langle \vec{z}, \vec{\nu} \rangle$  is defined, let  $\gamma_{\vec{z},\vec{\nu}}$  be such that  $q \wedge \langle \vec{z}, \vec{\nu} \rangle \Vdash \dot{h}(n) = \gamma_{\vec{z},\vec{\nu}}$ . For  $x \in A_n^q, \nu \in B_n^q$  with  $\nu \in x$ , define  $H_n(x,\nu) = \sup\{\gamma_{\vec{z},\vec{\nu}} \mid z_n = x, \nu_n = \nu\} < \kappa_x^n$ , where  $z_n$  and  $\nu_n$  denote the last elements of  $\vec{z}$  and  $\vec{\nu}$  respectively. Let  $H_n(x) = \sup_{\nu \in B_n^q, \nu \in x} H_n(x, \nu) < (\kappa_x^n)^+$ .

Then q forces that  $\langle H_n \mid n < \omega \rangle$  is as desired.

## **Corollary 7.3.** $\langle g_{\beta} | \beta < \mu \rangle$ is a bad scale in V[G]

*Proof.*  $\langle g_{\beta} | \beta < \mu \rangle$  is a scale by the way we defined it and Lemma 7.2, (see for example the arguments in [1]). Also, by Lemma 7.1, if  $\gamma$  is a good point in V[G] for  $\langle g_{\beta} | \beta < \mu \rangle$  with cofinality  $\tau$  with  $\omega < \tau < \kappa$ , then  $\gamma$  is a good point in V for  $\langle g_{\beta}^* | \beta < \mu \rangle$ . Finally, the set of bad points S is still stationary in V[G].

We conclude with some questions.

**Question 1.** What can be said about the tree property at  $\kappa$  in the above construction?

**Question 2.** Can we use short extenders and collapses to obtain the present construction for  $\kappa = \aleph_{\omega}$ ?

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